# ORIGINAL ARTICLE



# What hinders and helps in the end-of-life decision-making process for children: Parents' and physicians' views

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# **Abstract**

**Aim:** To investigate the main factors which facilitate or hinder end-of-life decision-making (EoLDM) in neonates and children.

**Methods:** A qualitative inductive, thematic analysis was performed of interviews with a total of 73 parents and 71 physicians. The end-of-life decisions mainly concern decisions to withhold or withdraw life-sustaining treatment.

Results: The importance of taking sufficient time and exchanging clear, neutral and relevant information was main facilitators expressed by both parents and physicians. Lack of time, uncertain information and changing doctors were seen as important barriers by both parties. Most facilitators and barriers could be seen as two sides of the same coin, but not always. For example, some parents and physicians considered the fact that parents hold strong opinions as a barrier while others considered this a facilitator. Furthermore, parents and physicians showed differences. Parents especially underlined the importance of physician-related facilitators, such as a personalised approach, empathy and trust. On the contrary, physicians underlined the importance of the child's visible deterioration and parents' awareness of the seriousness of their child's condition and prognosis as facilitators of EoLDM.

**Conclusions:** This study gained insight into what parents and physicians experience as the main barriers and facilitators in EoLDM for neonates and children.

#### KEYWORDS

communication, decision-making, end-of-life decisions, ethics, paediatrics

# 1 | 1. INTRODUCTION

End-of-life (EoL) decisions are among the most difficult decisions to make, from a medical, emotional, ethical and legal point of view. Decision-making regarding EoL (EoLDM) in paediatrics, that is deciding for another person who (partially) lacks decision-making capacity, is even more complex. In the Netherlands as well as in other

developed countries, EoL decisions (Box 1) are not an uncommon phenomenon (1-3). Almost all deaths (95%) occurring in Dutch NICU's are preceded by EoLDM (4). Of all deaths in Dutch children aged 0 to 1 year, 66% is the consequence of EoLDM (5); for the age group 1 to 16 years, this rate is approximately 48% (5,6)

Parents consider communication of decisions an essential part of the decision-making process (7-9). Physicians must provide

Abbreviations: EoL, end-of-life; EoLDM, end-of-life decision-making; LST, life-sustaining treatment; NICU, neonatal intensive care unit; PICU, paediatric intensive care unit; SDM, shared decision-making.

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#### BOX 1 Main end-of-life decisions in children (1)

Foregoing treatment:

Withholding treatment: refraining from medical treatment that is potentially life sustaining

Withdrawing treatment: interrupting medical treatment that is potentially life sustaining

Alleviating pain or other symptoms by using drugs with a possible life-shortening effectDeliberate ending of life

understandable information while communicating with empathy and sensitivity to the parent's needs (7). Most parents prefer to actively participate in EoLDM as is recommended by patient and professional organisations (10,11). The effects seem positive: parents who shared in EoLDM in the NICU reported less grief symptoms (12). The degree to which parents' values and opinions are being heard and consequently integrated into the decision shows considerable variation in and over hospitals (13-15).

Studies investigating the perspectives of both parents and physicians on what hinders and facilitates the EoLDM process in children are scarce. Therefore, we performed a secondary analysis of previously collected transcripts of interviews and focus groups with a wide variety of parents and physicians. All parents had been confronted with EoLDM for their child. All physicians had been actively involved in making EoL decisions for their patients.

Our aim was to identify the main factors which according to parents and physicians facilitate or hinder EoLDM in critically ill neonates and children and to formulate practical recommendations how to improve EoLDM in neonatology and paediatrics, based on these findings.

# 2 | METHODS

# 2.1 | Study design

We performed a secondary, qualitative analysis on semi-structured interviews and focus groups that were held with parents and doctors in various paediatric end-of-life settings. These interviews were conducted in the Netherlands and involved 15 different hospitals.

# 2.2 | Setting and study population

A total of 88 original transcripts were included from formerly held semi-structured interviews and focus groups (16-18). In these interviews and focus groups, a total number of 73 parents of 54 children aged prenatal until 18 years for whom an EoL decision had been made participated. Moreover, 71 physicians participated who were involved in EoLDM on a regular basis. These EoL decisions concerned withholding or withdrawing life-sustaining treatment (LST)

# Key notes

- Knowledge is lacking about the factors which facilitate or hinder end-of-life decision-making in neonates and children.
- According to both parents and physicians, it helps when sufficient time is taken to exchange clear, neutral and relevant information.
- Physicians name parents' awareness of the seriousness of their child's condition and prognosis as an important facilitator, while parents consider a personal, empathic approach and support by non-medical professionals to be important facilitators.

and/or administrating drugs with a possible life-shortening effect to alleviate pain or other symptoms. Participants were either parents or physicians involved in a) prenatal decision-making in extreme prematurity at 24 weeks of gestation (16,17), b) EoLDM in children with profound intellectual and multiple disabilities (18) or c) EoLDM concerning (acute) critically ill children aged 0 until 18 years of age<sup>1</sup>. All transcripts were derived from earlier performed studies and conducted in various hospitals and clinical settings. The professionals regarding prenatal decision-making participated in focus group interviews, and all other professionals and all parents participated in individual interviews. All interview guides contained comparable questions on the process of decision-making (guides are available upon request).

# 2.3 Data collection and analysis

A thematic analysis was performed to analyse the transcripts(19). First, all transcripts were inductively coded to identify all factors that parents and/or physicians considered to contribute to or to hinder the EoLDM process. Second, these facilitators and barriers were categorised. For each facilitator and barrier, it was noted whether this arose from parents, physicians or both. The main researcher (IZ) performed the coding and categorisation together with two research assistants (RV and JV). Discrepancies were discussed until consensus was reached. Third, four researchers (IZ, RG, MH and MV) extensively discussed all categorised facilitators and barriers to check them once again and to look for overarching patterns.

We continued until we had coded all transcripts, although saturation was reached after having coded 2/3 of all transcripts. The analyses were conducted with the aid of the qualitative analysis tool MAXQDA (VERBI GmbH, Berlin, Germany). Our coding scheme is available upon request.

<sup>&</sup>lt;sup>1</sup>Unpublished data, available upon request.

# ACTA PÆDIATRICA NURTURING THE CHILD

# 3 | RESULTS

A total of 88 transcripts were included. The main characteristics of the included children, parents and physicians are presented in Table 1. The barriers and facilitators named by the participating parents and physicians could be categorised into four overarching themes: 1) situation at the onset of the EoLDM process, 2) preparation and organisation of meetings regarding EoL decisions, 3) communication during these meetings and 4) overall support and follow-up. In Table 2, we present an overview of the main barriers and facilitators per theme and supplement them with illustrative quotes from all interviews. Below, we will discuss them in more detail.

# 3.1 | Situation at the onset of the EOLDM process

# 3.1.1 | Barriers

Both physicians and parents indicated that the absence of a physician in charge could hinder EoLDM. A physician said: 'We consulted the pediatric oncologist, an ethics consultant and the head of the department. It was a good thing to consider it all carefully. But I think it is a disadvantage to get so many different opinions while no one took the lead'. A barrier indicated often by physicians was the inability of a (too young or too ill) child to express his or her<sup>2</sup> own wishes. This was especially important when a conflict in the interpretation of the child's non-verbal signs arose between parents and physicians. Parents mentioned mutual mistrust as an important barrier during EoLDM with a high negative influence. For example, one parent described the physician of her son as follows: 'That other doctor did not even know who we were. She made us feel like we were just production line work'.

# 3.1.2 | Facilitators

The presence of a trustful relationship was one of the most important facilitators during EoLDM. Both parents and physicians also underlined that it was helpful when parents were aware of their child's condition and/or prognosis. Furthermore, both considered it helpful when parents were able to clearly express their views and needs. As one physician explained: 'The parents pointed out very clearly which decisions were difficult and which ones were not. They told me when they needed more time to consider a decision'. Parents especially valued their ability to read and interpret their child's non-verbal signs. For example, one parent said: 'You could see he did not want it anymore. He did go to school, which gave him a short revival until the next weekend when he was very tired. He did not recover from this exhaustion, it was over'. Physicians considered

factors such as the child's visible deterioration or clarity of the prognosis as important facilitators.

# 3.2 | Preparation and organisation of meetings regarding EoL decisions

# 3.2.1 | Barriers

The absence of sufficient time was one of the most important barriers during EoLDM according to both parties. One physician explained: 'What I consider very difficult is when you sit with parents when the mother is in labor already. Then you give a lot of information and that's new information for most people. People have to process it and make a decision in a fairly short time frame'. Furthermore, both stated that too many professionals attending a single meeting could also hinder EoLDM. One parent stated: 'On the day before he died, we had a meeting with the physician, a physician in training and of course the nurse. I did not like that there were so many people present at the meeting. I was so emotional, I didn't feel at ease anymore'.

Parents especially considered subsequent conversations led by different physicians an important barrier. Several physicians also mentioned this factor. In the words of one physician: 'I really regret that it ended the way it did, with all other people involved, but there was no other way. You experience the negative effects of transferring the patient to the physician who has the next shift and has less history with a patient. It is very hard and difficult to transfer the nuances and everything that is going on'.

# 3.2.2 | Facilitators

Both parents and physicians considered having enough time to discuss EoL decisions to be very helpful. Furthermore, they stressed the importance of discussing EoL decisions during a planned meeting and pro-actively instead of incident-related. It was considered helpful when these meetings were properly prepared. As one physician said: 'In general I believe it is important to discuss this topic, even if it is not immediately necessary. To discuss what is known about how these things work with these children and to know each other's opinion concerning this. Not to draw consequences from this at that very moment'.

Finally, both parents and physicians preferred more than one meeting to discuss and finally make an EoL decision.

# 3.3 | Communication during meetings regarding EoL decisions

# 3.3.1 | Barriers

Concerning the exchange of information, parents and physicians emphasised that the lack of clear, neutral and relevant information

 $<sup>^2</sup>$ When he or his is written in the rest of the manuscript, he or she and his or her is meant



TABLE 1 Main characteristics of included patients, parents and physicians

Characteristics	Patients (n = 54)	Parents (n = 73, 47 mothers and 26 fathers)	Physicians (n = 71)
Age (y)			
prenatal	21		
0-4	12		
4-8	7		
8-12	6		
12-18	8		
*			
20-29		5	1
30-39		43	14
40-49		15	29
50-59		3	18
>60		0	8
unknown		7	1
Gender			
Male	31	26	31
Female	23	47	40
Main diagnosis			
Extreme prematurity	21		
Asphyxia	4		
Genetic condition	12		
Neurologic condition	2		
Metabolic condition	3		
Cancer	5		
Acute illness/trauma	4		
Unknown	3		
Final decision			
Initiating LST	18		
Withholding LST	21		
Continuing LST	2		
Withdrawing LST	6		
Withholding and withdrawing LST	3		
Administrating drugs with a possible life-shortening effect to alleviate symptoms (including palliative sedation)	4		
Deceased			
No	24		
Yes	30		
Religion			
Christian		7	
Islamic		8	
Other		1	
No affiliation		24	
Unknown		33	
Educational level			
Low		3	
Middle		33	



TABLE 1 (Continued)

Characteristics	Patients (n = 54)	Parents $(n = 73, 47 \text{ mothers and 26 fathers})$	Physicians (n = 71)
High		34	
Unknown		3	
Marital status of parents			
Married/ living together		69	
Divorced/ living apart		3	
Widowed		1	
Specialty			
- Obstetrician			12
- Neonatologist			26
- Paediatrician			6
- Rehabilitation specialist			1
- Paediatric intensivist			13
- Paediatric neurologist			6
- Paediatric cardiologist			2
- Paediatric pulmonologist			1
- Paediatric oncologist			2
- Metabolic paediatrician			2

greatly hinders EoLDM. Furthermore, they both indicated that too much information could also become a barrier. In some cases, parents decided to audiotape the conversation, so they could relisten. Physicians sometimes felt they had to give too much information in situations where the clinical condition of a child rapidly deteriorated; they often noticed that parents had difficulties processing it. Moreover, several parents mentioned receiving contradictory information as another important barrier.

Both parents and physicians stated that different opinions between physicians and parents about the course to follow could hinder EoLDM. Only physicians mentioned strong parental opinions about future treatment, as a potential barrier during EoLDM. As one physician explained: 'The child's mother did not accept that things could go slightly different. Patterns had arisen in how it should be and so it would have to go. For example, she regarded IC hospitalizations as bumps that needed to be taken, after which things would be okay again'.

Parents mentioned the lack of opportunity for participation in the final decision-making as barrier. For example, one parent said: 'We felt incredibly powerless. In the local hospital things didn't go well: we wanted a lot to be done but it didn't happen. In the academic hospital it went better for a while, but then the roles were reversed because we wanted to stop the treatment, but the physicians in the academic hospital wanted to continue all the treatments. And in both situations, we had nothing to say about it'. Another barrier considered important by parents only was the restraint they felt to disagree with their child's physicians about the proposed decision.

Concerning the overall communicative aspects, both parents and physicians considered emotions as a barrier, at least if parents felt

overwhelmed by them. Physicians found it a hindering factor if parents were clearly reluctant to discuss EoLDM for their child.

# 3.3.2 | Facilitators

Parents and physicians stressed the provision of clear, neutral and relevant information as greatly helpful for EoLDM. It was also considered helpful when this information was based on the input of multiple specialists. Furthermore, both parents and physicians indicated a strong parental opinion about what is best to do as important facilitator of EoLDM.

Parents and physicians put emphasis on different facilitators. Parents found it helpful if physicians valued their opinions. Furthermore, they considered having the same opinion as their partner as an important facilitator in EoLDM for their child. Physicians on the other hand valued it if their colleagues provided them with additional medical information and wanted to discuss the decision at stake with them.

Concerning the overall communicative aspects, both parents and physicians considered a personalised approach to be highly helpful throughout EoLDM. Moreover, especially parents considered physicians' empathy as an important facilitator. Some parents and physicians indicated that when parents got the opportunity to share their emotions, this could be helpful during EoLDM. Some parents found it helpful if physicians also expressed their emotions. As one parent explained: 'Then, one of the IC doctors told us nothing could be done anymore, but he did shed a tear in the parents' room. He was very committed to us. He had also seen the course of our child in



TABLE 2 Overview of all identified barriers and facilitators per category, illustrated by representative quotes

Facilitators	Parents	Physicians	Barriers	Parents	Physicians
Trustful relation between physician(s) and family	++	++	Mutual mistrust between physician(s) and family	++	+
Parent: 'Their honesty, openness and the confidence the most helpful element. I got the feeling that situation - they were there to support me. We v	l was not alo	ne in this	Parent: 'That other doctor did not even know w feel like we were just work and part of a "co		
Parents aware of child's condition/prognosis	++	++			
Parent: 'We learned that she had four stenoses, wh twice. They repaired it with so-called 'patches', scar tissue leading to subsequent stenosis'. It fe would be successful the next time. These types you decide not to try again'.	but by doing It unrealistic	so, caused to assume it			
Parents able to read and interpret child's non- verbal signals	++	++			
Parent: 'You could see he did not want it anymore. gave him a short revival until the next weekend again. He did not recover from this exhaustion	, when he wo	as very tired			
Parents able to express themselves clearly	++	++			
Physician: 'They pointed out very clearly which dec and which were not. Also, they told me when th consider a decision'.					
Visible deterioration	-	++			
Physician: 'And in the past he regained his own leve it became more and more clear with recurrent p pulmonary condition deteriorated beyond the p	oneumonias i	that his			
Clear prognosis	-	++	Unclear prognosis	++	++
Physician: 'This severely abnormal MRI scan, togeth symptoms, were considered to be devastating.' limiting treatment. The parents, of course, need this. The boy was admitted with epileptic seizur well. However, during the weekend we made the 'probably ending well' to a "severe prognosis".	As a result, w ded some tim res, which of	ve suggested ne to process ten ends	Physician: 'It was very difficult because we did had been clear, the ambiguities in the beging there. Then we might have gone on a differ we would have had clearer insights'.	nning might i	not have been
Physicians able to read and interpret child's non-verbal signals	+	++	Parents and physicians interpret child's non-verbal signals differently	+	-
Physician: 'When he arrived it was a boy who smile his head. There was real contact, something wa two of us. This totally disappeared. He looked r was no way he could express if he felt good. The clearly the pain he was suffering from'.	is happening ight through	between the you. There	Parent 'They brought him to me and removed to fighting. I said this, and that I thought they ventilation. If he had not fought, then I wo when someone is fighting, it made me feel	should not i uld have stay	remove the ved calm. but
Child able to express wishes	+	+	Child unable to express wishes	+	++
Physician: 'The proposed therapy was medication of internal defibrillator. And he chose to live his lift compliant in taking his meds, but he did not wa	e like he did.	He was very	Physician: 'The father himself pointed out that to live this way, but that's very difficult. Ev same. I think if you would ask each child 'd living disabled?' than every child would say	eryone woul o you want t	d say the
Parents get the opportunity to be present at the multidisciplinary consultations from early on	+	+			
Physician: 'I want to show that our message of "no is nothing more we can do" is preceded by many discussions [in our multidisciplinary consultation let parents witness the preceding process to according to the process to acco	y considerati ons]. It is som	ons and etimes wise to			



1) Situation at the onset of the EOLDM p	orocess				
Facilitators	Parents	Physicians	Barriers	Parents	Physicians
			Not one doctor in charge	++	++
			Physician: 'We consulted the pediatric and the head of the department. It many opinions so deeply, I think it is different opinions with no one takin	was a good thing to a disadvantage to	o consider so
2) Preparation and organization of meet	ings regarding EoL	decisions			
Facilitators	Parents	Physicians	Barriers	Parents	Physicians
Enough time for meeting(s)	++	++	Lack of enough time for meeting(s)	++	++
Physician: 'Well, I think it was good that we we listened to them. We did not force a		e parents felt	Physician: 'What I consider very diffic consultation. You often sit with po already. Then you give a lot of info for most people and they have to about it in a fairly short time fram	arents when the mo ormation. That is no process it [] and n	other is in labor ew information
More than one meeting	++	++			
Physician: 'If you have time to meet with th to each other about it, then you might e compared to when you ask them immed the first time. It might be difficult for th provided them with all the information'	end up with a more n diately after you talk em to decide right a	nixed opinion ed to them for			
Planned meeting instead of ad-hoc	++	++	Meeting ad-hoc or incident-related	++	+
Physician: 'In general I believe it is important it is not immediately necessary. To discussion these things work with these children a concerning this. Not to draw conclusion	uss what is known al nd to know each oth	oout how er's opinion	Parent: 'It was never discussed at the I didn't know. This was the way it started the entire treatment, and Now I discuss everything with the if we had discussed this earlier, it think the whole treatment would	went in the hospito then they stopped regular physician. would have been v	al: first they it all again. Now I think: ery different. I
Meeting in familiar environment	++	-	Meeting in unfamiliar environment	++	0
Parent: 'I do not want to enter that room ag the room was not too clinical - it was ju room for this. Nevertheless, it is a room	st fine. It is very goo	d that there is a	Parent: 'At a certain point, during his another room to have a conversal take place on the hospital ward al beforehand: it is not going well'.	ion. This conversa	tion did not
Meeting properly prepared	++	++	Meeting improperly prepared	++	+
Parent: 'It was very clear to us that there w conversation in which the different cho subsequent consequences of each choice	ices were explained,	_	Parents: 'She never expected us to as she was not prepared. We asked I no answer to any of these 30 que: right'.	ner thirty questions	and she gave
			Conversations led by different physicians	++	++
			Physician: 'I really regret that it ended people involved, but there was no negative effects of transferring th next shift who has less history wit difficult to transfer the nuances a	different way. You e patient to the ph th a patient. It is ve	run into the ysician of the ry hard and
			Too many professionals attend meeting	+	+
			Parent: 'We had the same physicians before he died, we had another pl training and of course nurses wer used to saying: 'I don't want you t that. I was so emotional, I didn't f	nysician. Also, a ph e present at the me o be there'. But I ju	ysician in eeting. I am not ist did not like



3) Communication duri	ng meetings reg	arding EoL decisions			
Facilitators	Parents	Physicians	Barriers	Parents	Physicians
Physicians provide clear, neutral and relevant information about condition, prognosis and options	++	++	Physicians do not provide clear, neutral and relevant information about condition, prognosis and options	++	++
providing information	nild's interest. We n, which can also	e, you need to consider need to be fair when result in the conclusion nt is not possible; it will	Physician: 'Well sometimes—wheth you have a strong personal opin be done. In those cases, I couns direction. And then it might be parents in a conversation and t think about'.	nion on what should be do sel—consciously or uncons possible that I don't leave	ne and what should not ciously towards a certain enough space for the
Information based on input of multiple specialists	++	++			
approaches to the sa who said: this is how we will do this. At the doctor who we had s gynecologist, also att	disciplines togeth me story. There v we are going to a e same time, it wo een the most up t tended the meetil	er providing different was a neonatologist lo it and subsequently as really nice that the			
			Parents have difficulty to understand information about condition, prognosis and options	++	++
			Parent: 'That second cardiac surg know what we were talking a best thing to do. We were livi knowledge'.	bout. We thought: the d	octor knows what's the
			Physicians provide too much information	++	++
			Parent: 'Well, you know at the mor like you are in a tornado and th and what will happen, and you information, a lot of the inform	nere is so much you have to must find your way there.	do. So much happens
			Physicians provide contradictory information	++	-
			Parent: 'In the beginning she said: about it.' But later I was told: 'F then you suddenly have a solut transplants, I had hope again. I about that liver transplant, bed did not like that'.	Possibly he will qualify for a tion. So, I started reading e But the next day the docto	a liver transplant' and everything about liver er said: 'You can forget
Physicians value parents' opinions	++	++	Physicians ignore parents' opinions	++	+

#### 3) Communication during meetings regarding EoL decisions

Physician: 'I always ask: "What do you think? Do you agree or do you have additional or different ideas?" For sure, the doctor is the one with the knowledge, you need to guide them, but with the current social media environment; parents search and find many things. If they have a reasonable request, I will often agree to it. If I find their suggestion not useful, I will explain why. I have learned a lot from parents; to see things from their perspective, especially for these kids'.

Parent: 'I once told the neurologist: "I do not want this for her" in periods when I was sure she was really deeply unhappy. No smile, just arching and stretching. And I got reactions like: "Well, what do you want? Do you want to starve her to death or something?" But that made me feel like I was saying something not allowed at all, So I tried to discuss that, but I got the feeling that I had wrong thoughts. I didn't feel like I was taken seriously in this'.

Physicians express ++
their personal
opinion about
what is best to do

Physicians do not express their personal opinions about what is best to do

Parent: 'In my opinion be honest and tell me all options. And if you, being a physician, have a personal opinion and you are asked to share this opinion, well just do it. And when you give your personal opinion, tell me that: 'I have a medical opinion and you have your own opinion. You have to make a decision based on those two opinions. But I also have a personal opinion' Some physicians say: 'I can't give my personal opinion, I can only give my medical opinion so I can only tell you something about the percentages and chances' Well I think; also tell me what you think what is the best to do'.

Parent: 'The doctor stayed neutral regarding the decision. He informed us about the risks and that made it difficult for us to make that decision, because we felt that it was really only up to us. The doctor did not even say: "Oh well, I would go that way".

Parents and +physicians share
same opinions

Parents and physicians hold opposite opinions

opposite opinions

Parent: 'When I contacted the hospital, they knew they needed to arrange a bed straight away - I never had to ask. It felt like a real cooperation. It felt like we were equals with the same goal'.

Physician: 'The second opinion created confusion; I was not pleased with that. The parents were not at all happy, because the treatment was continued and actually they wanted to stop'.

++

Couples share same opinions

Couples hold opposite opinions

++ ++

++

Physician: 'In a next conversation, the parents persisted, against the advice of the pediatric cardiologist, in their disapproval for another intervention. They wanted nature to run its course and did not want to expose their daughter to this intervention with considerable risks'

Physician: 'The parents separated a year before and the mother was the main careprovider for their son. I remember a conversation where the mother was quite emotional, but she had the clear idea: 'I do not want him to suffer. If this is it, then this is it.' But the father was not ready for that yet. A joint conversation about what we would do if treatment didn't work anymore was not possible'.

Physicians share + ++
same opinions

Physicians hold opposite ++
opinions

Physician: 'I had to make a decision for this child although I had never seen her before. Therefore, I discussed the case with my colleagues. Everyone agreed: there was nothing we could do anymore for her. This cancer would beat her. After that decision, we tried to fulfil her last wish to go home'. Physician: 'A number of people within our team had the idea that if there's only once chance – it should be tried. But it depends on how you look at such a case. As generalists you may have a different view than sub-specialists. Sometimes they are inclined to continue a certain treatment longer than you would do as the generalist, overseeing the entire patient. The IC team for a long time mainly looked at aspects of his daily situation and did not always have enough of a helicopter view'.

Parents hold strong ++
opinion about
what is best to do

Parents hold strong opinions about what is best to do

+

Physician: 'And then his mother said very clearly this was not something she thought that we had to make him endure'.

++

Physician 'The mother is in charge of the whole entire care. She doesn't accept anymore that things could also be slightly different. Opportunities to change her view were not successful. The mother regards IC hospitalizations as bumps in the road that needs to be taken, expecting that after, things will be okay again. Topics as cardiopulmonary resuscitation are difficult, not to say impossible, to discuss with the mother'.

Parents clearly led by child's best interests +

++

#### 3) Communication during meetings regarding EoL decisions

Parent: 'We are very down to earth concerning this. Sure, you talk about your own child, but then again: should we be selfish and keep her in this world? In whose interest is that? She suffers and for me it seems selfish to say: "No, you stay here, in our world". She is at an end and we need to respect this, even though this is very hard'.

Physicians seem too much + ++
influenced by their
personal emotions

Physician: 'My own emotions also play a role in counseling. But that also makes it shared I think - not to hide and not to keep too much distance. But you take your own professional standards and values into account. With emotions you have to be careful, you are on a slippery slope, because you should not counsel too directly because of these emotions'.

Parents hold strong religious ++ ++

Parent: 'I think everything is in the child's own hands. You as a doctor, you have studied for that, but you are no God. Eventually, it is God who commanded—will she stay or not'.

Physicians take all ++ ++
responsibility for
making the (final)
decision upon
parental request

Parents do not get the opportunity to participate in making the (final) decision

Physician: 'I think that parents want physicians to give advice in these kinds of matters. But the decision to start treatment, knowing that the treatment will probably lead to death, is a decision I have to make. You cannot expect parents to make that decision by themselves, that is psychologically too difficult. I think it is a medical decision which the physician has to make, but you need parents to agree'.

Parent: 'We felt incredibly powerless. In the local hospital things didn't go well: we wanted a lot to be done but it didn't happen. In the academic hospital it went better for a while, but then roles were reversed. Because we wanted to stop the treatment, but then the physicians in the academic hospital wanted to continue all treatments. And in both situations, we couldn't say anything about it'.

++

Parents do not dare to disagree with physicians about the proposed (final) decision

Parent: 'When we said: "We want to stop the treatments" we suddenly found out that we couldn't say anything about our own child. We could have pushed it, but if necessary, our custody would have been denied—the last thing we wanted. We didn't want to waste energy on lawsuits, but we wanted to give all our time to our child—since time might be short. That was the reason for us thinking "we won't escalate this any higher, we will not take it that far"

Parents are not receptive to start conversation

start conversation

Physician: 'Her mother just doesn't want to talk about these decisions. She cuts off

every conversation I start about this and starts talking about something else

every conversation I start about this and starts talking about something else.

And that is very difficult. Because I think we should avoid doing things not in her child's interest'.

Personalised ++ ++

No personalised approach

++

++

Parent: 'In the end a physician's job is to heal. And if they do that, then I don't really mind if I don't like them as a person. It is more their behavior. Like, do they talk about the patient or about my son? Maybe it doesn't matter to them, but it does to me. I want them to feel they are treating my son, not some patient with chance this or that that things will work out or not'.

Parent: 'We once had a discussion with the cardiothoracic surgeon. He just said, "she has a bad heart and we must fix that". He wanted a nice new cow-valve. But I thought: "look at this child, what do you think?" And I think she is an extraordinary child, but he really considered her in a different way Yes, we disagreed on that'.

++

# 3) Communication during meetings regarding EoL decisions

+

Physicians show ++ empathy

Parent: 'We were supported very well, and we had a doctor with empathy. I really felt he supported us, and he sympathized with us. So, we had a very good experience there'.

Physicians share ++ their emotions

Parent: 'Then, one of the IC doctors told us nothing could be done anymore, but he did shed a tear in the parents' room. He was very committed to us. He had also seen the course of our child in the last two weeks. Still, if we talk about this we feel this was very special: a doctor telling us and also shedding a tear'.

Parents share their + + + Parents are too overwhelmed ++ ++ ++
emotions by emotions to participate
in the conversation

Physician: 'She started to talk when she started crying. I finally had the feeling that I could do something for her. It was after the second opinion that she snapped.

This made the situation easier for me to deal with, at least it was clear what I could do to support. She was more open in her communication, which gives me the opportunity to share some thoughts and feelings, and to show her that I could understand that it was very hard. I could just put my arm around her, she was much more approachable'.

Physician: 'The father understood that there were no options left and that this would be the end. He understood that we would start morphine. But it was not possible to discuss it with him. The mother was also very emotional, but the father was so emotional that he was no longer able to participate in this conversation'.

# 4) Overall support and follow-up

Facilitators	Parents	Physicians	Barriers	Parents	Physicians
Parents feel supported by non-medical professionals	++	++	Parents don't feel supported by non-medical professionals	+	-
Parent: 'Almost every	day you see a docto	r and a nurse You speak with	Parent: 'We were not a	coached by our phys	ician A friend had heln fr

them about the current situation, but at a certain moment I felt like talking to a spiritual counselor, to talk about things in another way. I do not need to talk about God all the time, but it gives an extra dimension, a feeling, a deeper awareness, which I kind of needed'.

a social worker who was also present at all conversations with the physician, so she could discuss everything the physicians had told with the social worker afterwards. Certainly, in the beginning I would have needed that also'.

Parents feel ++ +
supported by
family and
friends

Parents don't feel + + +
supported by
family and
friends

Parent: 'At a certain day, she had a seizure which lasted very long. The doctor said we needed to talk about artificial respiration. The doctor preferred not to do this anymore. For sure, I struggled with this. Then, I discussed it with my brothers and sisters. We had our own opinion, of course, but we wanted to know what our family's thoughts were. We wanted to make sure we would not make a decision we would regret'.

Parent: 'There was not any understanding from my mother-in-law. When my wife was pregnant, my mother-in-law said very directly to her: "I cannot give it love and I will not accept it". She said about decisions my wife and I made together, "Well, we don't have to talk about that". We told her that we would stick to our choices, whether she accepted it or not. Then we were no longer welcome in her house, which was very hard'.

Parents feel ++
supported by
their religion or
belief

Parent: 'I said a special prayer. We have a special prayer if you want to be guided. If you do not know what to do, you pray, you ask Allah: 'Guide me to do what is right.' Then, you just feel what you need to do. Even if only it brings you an inner calmness to look at things the way they are'.

TABLE 2 (Continued)

4) Overall support and follow-up						
Facilitators	Parents	Physicians	Barriers	Parents	Physicians	
Decision evaluated and adjusted if necessary	++	++	No opportunity presented to evaluate and adjust decision if necessary	+	+	
treatment, that it do end we all want the get with a good qua	pesn't mean you just go o same: that a child has the lity of life. With every nev	t when you decide to start n and stop thinking. In the e best possible life it can w step in the treatment, we 's the best thing to do for	satisfactory. But lat hand, 'we are not go	t conversation, we fou er that week we thou	nd a solution we considered ght: "If you say on the one in the meantime new things	
Decision well documented	++	++	Decision(s) not documented	+	-	
Physician: 'What I think we did really well is that we made really clear agreements. We had a really clear timeline with agreements about what we wanted to achieve at certain points in time, should the treatment be successful'.			time she was admit at the ICU about 1 d increasingly asked w had a hospitalizatio ambulance and who	ted in the hospital. I th or 2 times any year. I h what we want in resus n where we were aske en we arrived in the ho	d with that question every nink that we will end up nad the experience to be citation and ventilation. I on the dthese questions both in the ospital. I had been asked that great need to put it down or	

Note: - = not mentioned by any interviewee / + = mentioned incidentally (eg by <5 interviewees) / ++ = mentioned more than incidentally (eg by >5 interviewees)

the last two weeks. We still feel this was very special: a doctor telling us and shedding a tear'.

# 3.4 Overall support and follow-up

#### 3.4.1 | Barriers

In general, no barriers of great importance were mentioned in this category by parents or physicians. A few parents and physicians felt that EoLDM was hindered when there was no opportunity to evaluate or re-adjust decisions when applicable. A few parents mentioned that they perceived it as a barrier when decisions were not well documented.

# 3.4.2 | Facilitators

Mainly parents indicated support by non-medical professionals, family, friends or their religion as great facilitator of EoLDM. For example, one parent explained: 'Almost every day you see a doctor and a nurse. You speak with them about the current situation, but at a certain moment I felt like talking to a spiritual counselor, to talk about things in another way. I do not need to talk about God all the time, but it gives an extra dimension, a feeling, a deeper awareness, which I kind of needed'.

# 4 | DISCUSSION

Our study provides a comprehensive overview of the main factors that according to parents and physicians either facilitate or hinder EoLDM processes in neonatology and paediatrics. Both parents and physicians put great emphasis on the need of sufficient time to exchange clear, neutral and relevant information in an understandable way. Parents put more emphasis than physicians on support by nonmedical professionals, and on a personalised approach, empathy and trust. Physicians consider it helpful when parents are aware of the seriousness of their child's condition and prognosis. Strong parental opinions may serve as a facilitator, but also as a barrier. The same holds true for strong parental emotions.

Our results highlight the wish for certainty in making EoL decisions for a child. In line with this result, physicians highly value the opinions from colleagues, as it provides more certainty or at least a sense of more certainty (20). When uncertainty about diagnosis and prognosis remains, recent guidelines on EoLDM in children recommend that this uncertainty is openly discussed with parents (11,21,22). Postponing a decision and taking the time to get a second opinion and/or observe whether a child still has chances to recover can be effective strategies to get more certainty about the prognosis and the remaining treatment options.

In our study, we observed some interesting differences between physicians and parents. Although both parents and physicians acknowledge the importance of a personalised approach,

<sup>&</sup>lt;sup>a</sup>For the readability, we selected one quote either belonging to the facilitator or the mirroring barrier.

parents highly value specific factors in line with this approach, such as one physician being in charge, physicians sharing their personal emotions with parents and physicians showing empathy. Physicians did not stress these factors. Furthermore, parents greatly valued a trustful relationship with the same physician. Another factor in our study that was especially mentioned by parents was their wish to actively participate in EoLDM. Letting parents share in EoLDM is recommended by professional organisations (11,23). This involvement can also imply that physicians make the final decision upon parental request, thereby taking into account parents' values and preferences (24). Involving parents requires advanced communication skills. Training of medical specialists may improve such skills as well as coaching 'on the job' (20). Our study confirms the outcomes of previous studies that parents consider the support by non-medical professionals and the support by their religion or belief as important facilitators in making EoL decisions for their child (25). Both facilitators were not mentioned by physicians. This may indicate that they are less aware of how these extern types of support may facilitate the decisionmaking process. Previous research has also shown that parents' religion and belief may well help them to better cope with their emotions (25).

Strong parental emotions and opinions were mentioned as barrier as well as facilitator for a good EoLDM process. Previous research has confirmed that parents experience intense emotions when their child is seriously ill (26,27). These emotions can have a negative impact on their ability to actively participate in making EoL decisions for their child (28,29). Moreover, physicians may feel uncomfortable when faced with these intense emotions (27). Yet, in our study several physicians mentioned that strong parental emotions—if acknowledged—could also lead to more mutual trust and understanding. Seen in that light, they were experienced as a facilitator rather than a barrier.

A substantial part of the participating parents considered strong parental opinions to be a facilitator for a good EoLDM process. Several physicians shared the same point of view, while others thought this factor to be an important barrier, especially if parents' opinions appear not to be in line with the opinions of the medical team. Conflicting points of view between parents and the medical team about EoL decisions occur regularly, as recent studies have underlined (5,15,30). These studies also show that in almost all cases, these conflicts can be solved by taking more time, planning more conversations and/or organising a second opinion. Moreover, it is stressed that these conflicts may well help to improve the quality of

Situation at the onset

- •Estimate the actual prognosis of the child als clearly as possible: use multidisciplinairy teams and second opinions when needed. Try to find consensus on prognosis and be honest when the prognosis is unclear.
- Build a trustful relationship with parents
- Gather information from parents on how they 'read their child' at that moment.
- Recognize whether an EoL decision has to be made; when life-support is regarded futile avoid speaking of decisions since there is nothing to decide (anymore). Recognize preference sensitive decisions because of the important role of parents in this decision-making.
- •Appoint a doctor in charge (primary point of contact for parents).

▼ Organization of meetings

- Recognize whether an EoL decision has to be made, or could be expected in the near future and plan a meeting in advance (avoid waiting for an ad-hoc/incident-related setting). Discuss future treatment goals on a regular basis.
- Take enough time for meetings.
- Plan more than one meeting to discuss EoL decisions.
- Prepare the meeting properly.
- •Mare sure parents feel as comfortable as possible: meet in familiar (for parents) environment and do not invite too many professionals.

Communication during meetings

- Clear information (neutral and relevant) on current situation and prognosis and options.
- $\bullet \mbox{Personalize communication to the needs and level of understanding of the parents. } \\$
- •Explore and value parents opinions, also when they are not in line with your own values or that of the medical team.
- Discuss with parents their preferred role in the decision making process.
- Show empathy
- Be aware of emotions, helpful or hindering.
- •Use the principles of shared decision making if *preference sensitive decisions* are at stake.

Support and follow-up

- Document the decision and ensure access for third parties (e.g. care plan / emergency passport / patients' digital access to patient file)
- Evaluate the decision and adjust when necessary.
- •Offer support by others than the treating physician.

the EoLDM process and in this way help to reach a decision which is the most appropriate for the child and with which all participants can agree.

The abovementioned findings of our study indicate important issues in EoLDM. Our results show that parents and physicians identify a multitude of barriers and facilitators regarding the EoLDM process. The appropriate use of shared decision-making, currently the recommended decision model for preference-sensitive decisions, might help to minimise important barriers and to maximise facilitators, for example by actively involving parents in the decision-making process while letting physicians make the final decision in case of parents' explicit request (24).

Our overview leads to several recommendations for clinical practice (Figure 1). More research is needed to investigate whether the implementation of these recommendations may lead to an improvement of EoLDM processes according to all parties involved.

This study has several limitations. First, since subgroup analyses were not performed, differences in barriers and facilitators among the different patient groups could not be established. Yet, our overall analysis enabled us to make a comprehensive overview of barriers and facilitators for EoLDM in neonatology and paediatrics. Second, recall bias is possible because parents and physicians were interviewed about EoL decisions that had been made in the past. However, this also gave parents some time to process their thoughts and emotions, potentially providing a more objective review of their experience. At last, due to the design of this study (secondary analysis), it was not possible to perform iterative data collection during the course of data collection. Strengths of this study are the double perspectives being studied and the large sample size. The different clinical settings incorporated ensure that we explored EoLDM processes across a variety of clinical problems and throughout the entire range of ages in paediatric care: from prenatal to adolescence.

#### CONFLICT OF INTEREST

The authors declare that they have no conflict of interest.]

#### **AUTHORS' CONTRIBUTIONS**

All authors have participated in the study's concept and design, in the analysis and interpretation of the data and in the drafting or revising of the manuscript. All have approved the manuscript as submitted.

#### ETHICS APPROVAL

All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards. One study was approved by the central institutional review board (IRB) and confirmed by local boards (NL nr. 42996.91.13) (17). The other study protocols were exempted from IRB approval (16,18). In all studies, participants signed informed consent.

#### CONSENT TO PARTICIPATE

Informed consent was obtained from all individual participants included in the study.

#### CONSENT FOR PUBLICATION

The authors affirm that human research participants provided informed consent for publication of the data in Tables 1 and 2.

# DATA AVAILABILITY STATEMENT

The data (original manuscripts) that support the findings of this study are available from the corresponding author, [IZ], upon reasonable request.

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